UNIVERSITY OF MISSOURI SYSTEM

Fiscal Year 2014

Appropriations Request for Operations

Appropriations Request for Operations

University of Missouri System

Fiscal Year 2014

Summaries

and provide a small amount for equipment, technology, infrastructure, and other on-going costs including unfunded mandates and compliance.

St. Louis Equity Adjustment: State Request $1.9 million
According to a 2002 Coordinating Board for Higher Education study that evaluated full-time equivalent (FTE) funding relative to the mean and median of the appropriate classification peer group, the University of Missouri-St. Louis was identified as having a significant funding gap of $10.8 million in state appropriations. All but $2.2 million of the gap has been funded by special state appropriations and reallocations from UM System. The $1.9 million that we are requesting from the state, when added to the reallocation provided by the UM System, would reach the $10.8 million target.

disciplines, and research and innovation in STEM and healthcare disciplines aH I R V W H U H G 0 L V V R X U L · V & R P S H W L W one on the STEM disciplines and one on health care described below.

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million.

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8 Q L Y H U V L W \ S O D \ V D F U L W L F D O U R O H L Q V X S S R U W L Q J W K H V W D W H · V H F R Q R P L F
growth and development and its national and international
F R P S H W L Y H Q H V V (F R Q R P L F V W X G L H V L Q G L F D W H W K D W D V W D W H · V I X W X U H
prosperity will rest on the extent to which its citizens have access to quality health care, its graduates are educated in the STEM

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0LVVRXUL .LGQH\ 3URJUDP
0LVVRXUL .LGQH\ 3URJUDP 5HVWRUDWLRQ
7HOHKHDOWK
6WDWH +LVWRULFDO 6RFLHW\ RI 0LVVRXUL
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7RWDO 2WKHU &XUDWRU 3URJUDPV

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$O]KHLPHU V 5HVHDUFK
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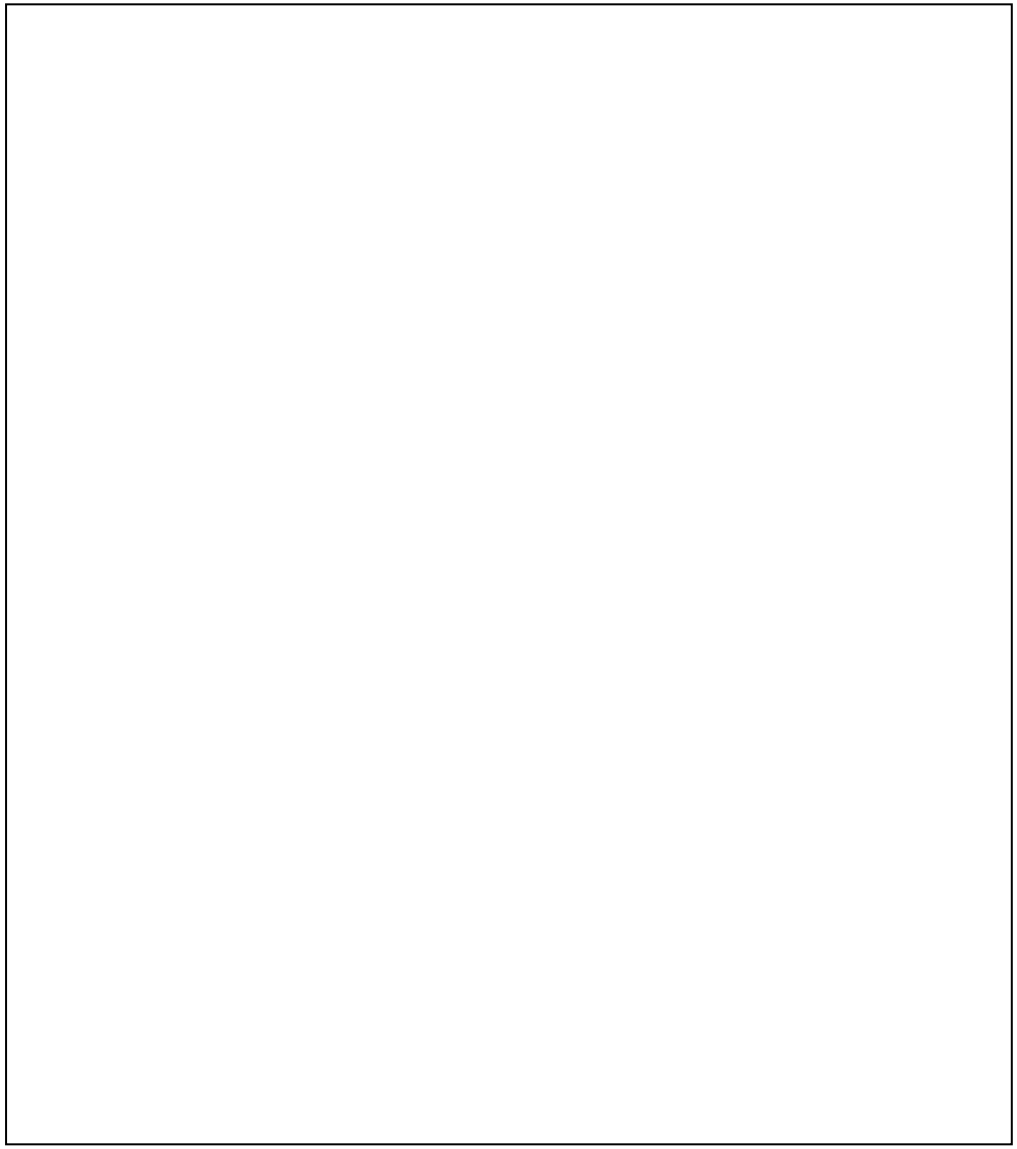

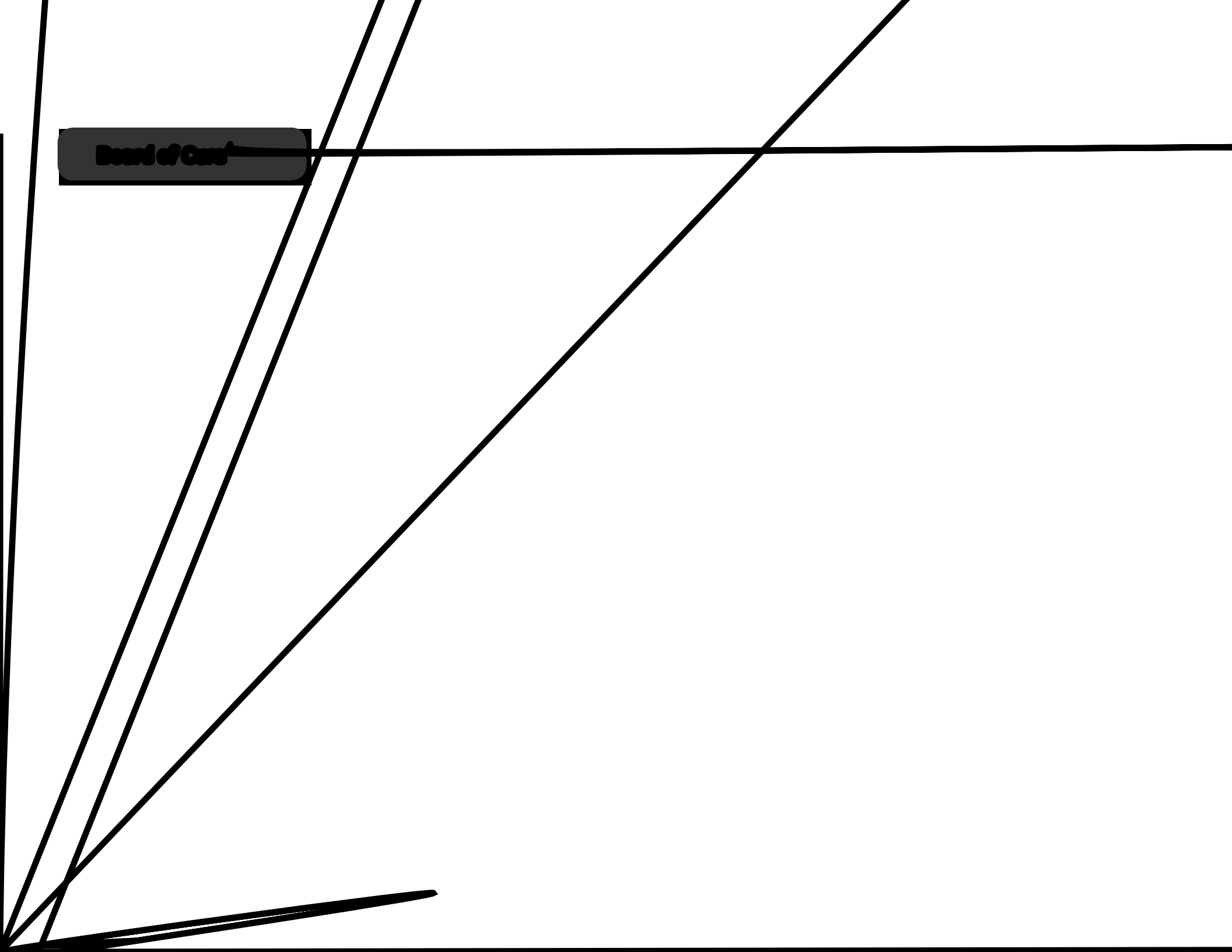
Board of Cust

Current Funds Revenues and Expenditures
University of Missouri System
Actual Revenues & Expenditures
FY2011

	General Operations	Other Unrestricted E&G	Auxiliary Operations	Hospital Operations	Total Unrestricted	Restricted Non-Grants	Grants & Contracts	Total Restricted	Total Current Funds
Revenues									
Tuition and Fees	622,753,105	48,234,574	-	-	670,987,679	7,506	424,058	431,564	671,419,243

7KH XQLYHUVLW\ KDV WKH XQLTXH UHVSRQVLELOLW\ WR WKH VWDWH RI SURYLGLQJ DFF
SURYLGHUV ,Q DGGLWLRQ DV SDUW RI D ODQG JUDQW XQLYHUVLW\ 8QLYHUVLW\ RI 0LV
HVSHFLDOO\ 0LVVRXULDQV 7KURXJK H[FHSWLRQDO FOLQLFDO VHUYLFH 8QLYHUVLW\ RI 0
8QLYHUVLW\ RI 0LVVRXUL 7KHLU YLVLRQ 7KURXJK GLVFRYHU\ DQG LQQRYDWLRQ 8QLYHU
H[FHSWLRQDO VHUYLFH DQG H[HPSODU\ KHDOWK FDUH

7KH XQLYHUVLW\ V IRXU FDPSXVHV DUH TXLWH GLYHUVH LQ WKHLU PLVVLRQV FOLHQWHO
XQLYHUVLW\ V DELOLW\ WR UHVSRQG WR WKH HGXFDWLRQDO QHHGV RI 0LVVRXULDQV DQG
FOLHQWHOH WKH XQLYHUVLW\ HPSKDVL]HV LWV XQLTXH FDSDELOLWLHV DV 0LVVRXUL V O

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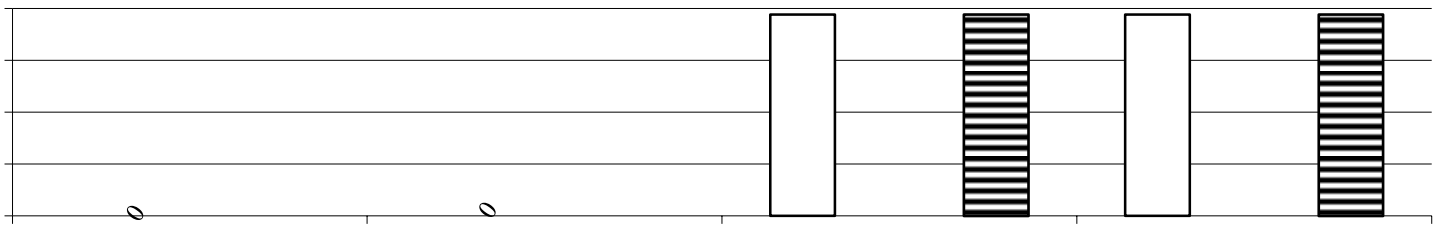
WKH LPSRUWDQW UROH RI WKH XQLYHUVLW\ LQ WKH HFRQRPLF GHYHORSPHQW RI 0LVVRXUL DOVR UHFRJQL]HV WKH
UHVXOWV IRU WKH SXEOLF JRRG 7KH XQLYHUVLW\ QRZ IHGV D SLSHOLQH RI VFLHQWLILF
UROH LV FULWLFDO WR WKH HFRQRPLF IXWXUH RI WKH LQVWLWXWLRQ VWDWH DQG QDWL

7KH XQLYHUVLW\ KDV WKH UHVSRQVLELOLW\ WR EULQJ LWV XQLTXH HGXFDWLRQDO SURJU
8QLYHUVLW\ RI 0LVVRXUL ([WHQVLRQ SURJUDPV RULJLQDWLQJ IURP HDFK FDPSXV DQG WK
LGHQWLILHG LVVXHV ZKHUH KLJKHU HGXFDWLRQ UHVRXUFHV FDQ DVVLVW

7KH XQLYHUVLW\ UHFRJQL]HV WKDW QR VLQJOH LQVWLWXWLRQ LQ WKH VWDWH FDQ DGGUH
SODQQLQJ DQG EXGJHWLQJ SURFHVVHV LV LGHQWLI\LQJ WKRVH SURJUDPV DQG VHUYLFH
VWUHQJWKHQ WKHVH SURJUDPV



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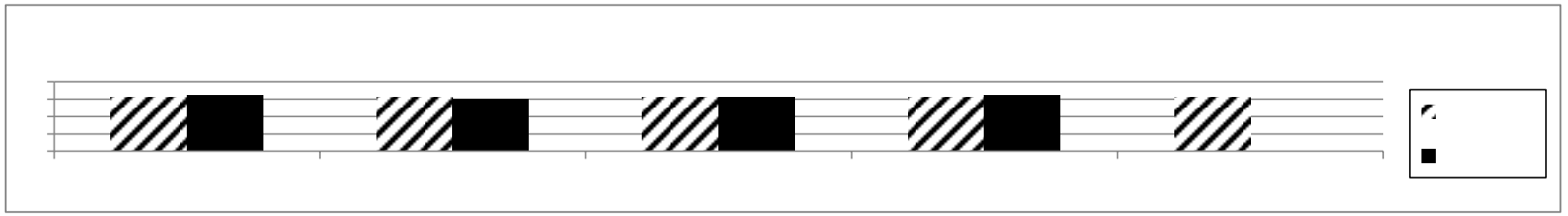
'HSDUWPHQW RI +LJKHU (GXFDWLRQ



Core Decision Items

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RSHUDWLRQV)RU H[DPSOH RYHU HPSOR\HHV DQG UHODWHG OLQHV RI EXVLQHVV DFWLYLW\ DUH
PLOOLRQ LQ IHGHUDO WD[HV DQG UHWXUQ ELOOLRQ LQ VDODU\ DQG UHWLUHPHQW L
FRQWULEXWLQJ WR WKH VWDWH DQG ORFDO HFRQRPLHV WKURXJK SURIHVVLRQDO VHUYLF
VWDUWLQJ DQG VXSSRUWLQJ FRPSDQLHV ZRUNLQJ LQ DOO OHYHOV RI SURIHVVLRQDO VHU
&HQWHUV RSHUDWH LQ RI WKH FRXQWLHV

7KH 8QLYHUVLW\ LV DOVR UHTXHVWLQJ DQ LQFUHDVH LQ FRUH RSHUDWLQJ DSSURSULDWL
DGMXVWPHQW LGHQWLILHG E\ WKH &RRUGLQDWLQJ %RDUG IRU +LJKHU (GXFDWLRQ VW
IXQGLQJ SURYLGHG LQ SDVW \HDUV ZRXOG UHDFK WKH WDUJHW QHHG RI PLOOLRQ

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&RUH 2SHUDWLQJ 6XSSRUW 7RWDO 1HHG PLOOLRQ 6WDWH 5HTXHVW PLOOLRQ
7KH YLVLRQ IRU WKH 8QLYHUVLW\ RI 0LVVRXUL LV WR HQKDQFH LWV SRVLWLRQ DV RQH R
VWUDWHJLF SODQ JRDOV LQFOXGH LQFUHDVLQJ DFFHVV WR TXDOLW\ OHDUQLQJ DQG WHD
DFDGHPLF SURJUDPV DQG VHWWLQJ QHZ VWDQGDUGV RI TXDOLW\ IRU FRPPXQLW\ XQLYH
RXWUHDFK WR WKH VWDWH WKH QDWLRQ DQG WKH ZRUOG $Q LQYHVWPHQW LQ WKH 8QL

$QQXDO 0HULW ,QFUHDVH LQ &RP7SHWLWLYH 1HHG %HQHILWLQJ &RPPXQLW\ 5HTXHVW
8QLYHUVLW\ HPSOR\HHV DUH DPRQJ WKH ODUJHVW DQG KLJKHVW\WKHG8QLYHUVLWR\ HPSOR
ODUJHVW QRQJRYHUQPHQWDO HPSOR\HUV LQ WKH VWDWH 7KH XQLYHUVLW\ LV D SHR
GHYRWHG WR FRPSHQVDWLRQ 0LQLPDO RU QR VDODU\ LQFUHDVHV LQ WKH ODVW IHZ
PDUNHWSODFH KDYH FDXVHG 80¶V PDUNHW SRVLWLRQ WR HURGH ,W LV FULWLFDO IRU
SRVLWLRQ WR EH FRPSHWLWLYH LQ LWV DELOLW\ WR DWWUDFW DQG UHWDLQ KLJK TXD
FRPSHQVDWLRQ SRRO IRU IDFX,QWDGGLWLRQDO IIELHQHILWPLRVOLRQ FUHDVHV RI DUH DQ
FRVLWLRQ HDOWK FDUH DQG UHWLUHPHQW 7HQ8QLYHUVLW\ PHUWKH\PHUDWNSRRO WKHFRWDWRXU IXQG
FULWLFDO KXPDQ FDSLWDO 7KH UHPDLQLQJ DPRXQW ZLOO EH IXQGHG E\ WKH XQLYH
WXLWLRQ

2WKHU 0DQGDWRU\&RVWV 7RWDO 1HHG PLOOLRQ 6WDWH 5HTXHVW PLOOLRQ
7HFKQRORJLFDO LQQRYDWLRQ HGXFDWLRQDO DQG UHVHDUFK QHHGV DV ZHOO DV LQF
DQG DGDSW 7KLV IXQGLQJ UHSUHVHQWV D VPDOO DPRXQW IRU HTXLSPHQW WHFKQRR
DQG FRPSOLDQFH ,Q DGGLWLRQ WKLV LQFUHDVH ZRXOG SURYLGH DQ LQIODWLRQDU\ L
HGXFDWLRQDO DQG JHQHUDO IDFLOLWLHV EDVHG RQ WKH 6LJKWOLQHV UHYLHZ RI IDFLO
WKH UHTXHVW DQG WKH UHPDLQLQJ IXQGLQJ FRQWULEXWHG E\ WKH XQLYHUVLW\ IURP R

,Q VXPPDU\ WKH 8QLYHUVLW\ DV 6HTXHVWLQJ WKH DGGLWLRQDO XQGHU EXGJHW RU P
LGHQWLILHG &XUUHQW VWDWH IXQGLQJ OHYHOV DUH LQVXIILFLHQW WR SURYLGH EDVLF R

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/HYHO 8QLYHUVLW\ RI 0LVVRXUL 6\VWHP
/HYHO $OO 3URJUDPV
'HFLVLRQ ,WHP &DPSXV IRU 0LVVRXUL 8QLYHUVLW\ ± PLOLRQ
'HFLVLRQ ,WHP 5RDQN

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7KH 6WDWH RI 0LVVRXUL KDV D JURZLQJ VKRUWDJH RI KHDOWK FDUH SURIHVVLRQDOV DI
LQVWLWXWLRQV KDYH OLPLWHG FDSDFLW\ IRU H[SDQGLQJ HGXFDWLRQDO RSSRUWXQLWLH
TXDOLW\ HGXFDWLRQDO H[SHULHQFHV IRU 0LVVRXUL¶V IXWXUH KHDOWK FDUH SURYLGHUV
ZRUNIRUFH ,Q WXUQ D KHDOWK\ ZRUNIRUFH GHSHQGV RQ DFFHVVLEOH TXDOLW\ FDUH G

Other Programs

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0LVVRXUL 5HVHDUFK DQG (GXFDWLRQ 1HWZRUN 025(QHW

0LVVRXUL 7HOHKHDOWK 1HWZRUN

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6WDWH 6HPLQDU\ )XQG

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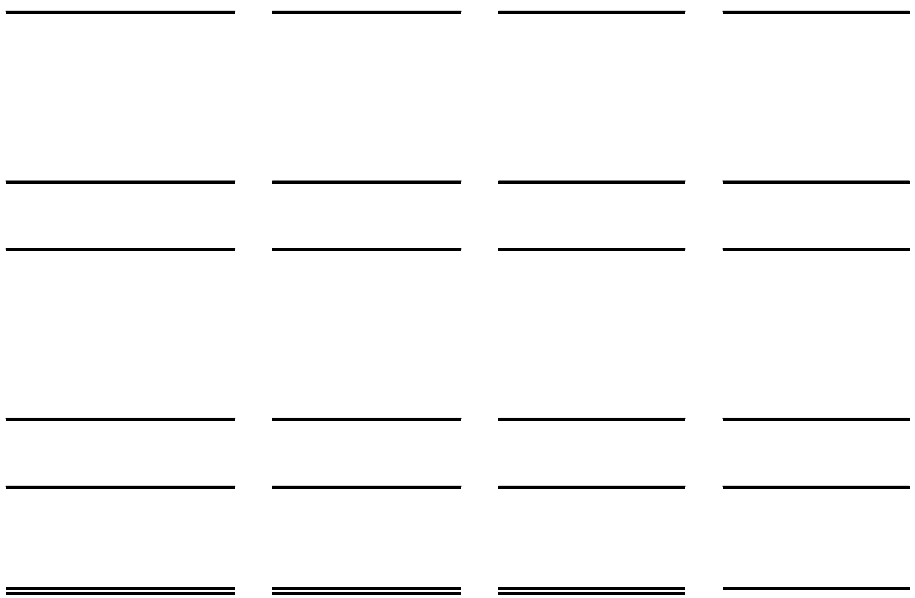
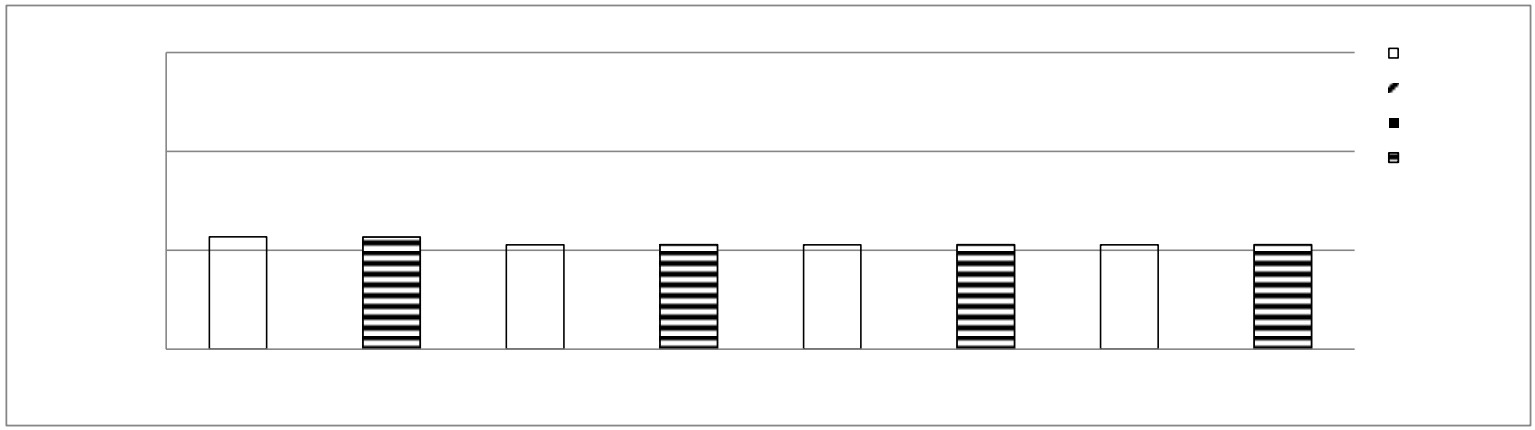
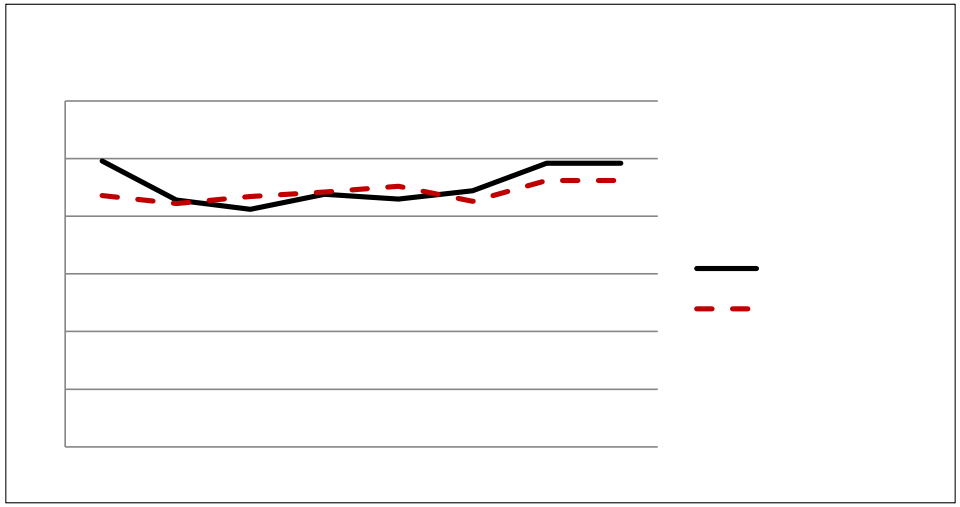
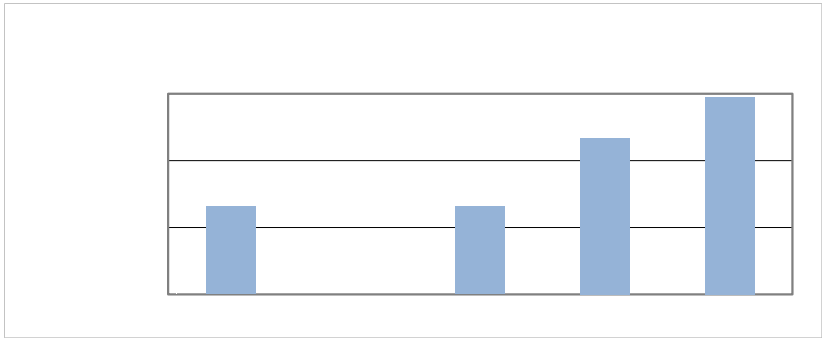
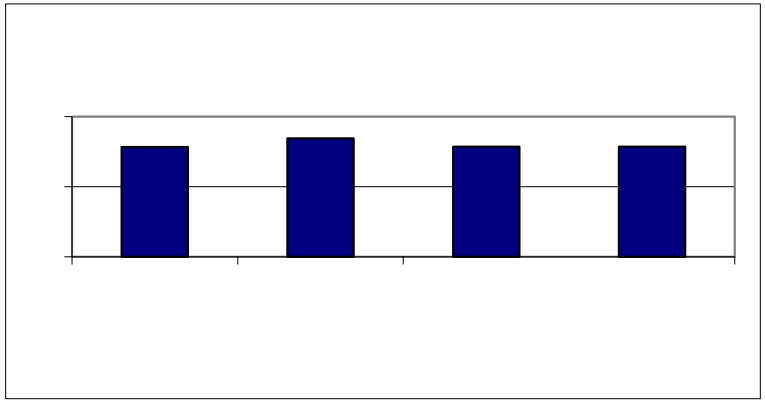
6WDWH +LVWRULFDO 6RFLHW\ RI 0LVVRXUL

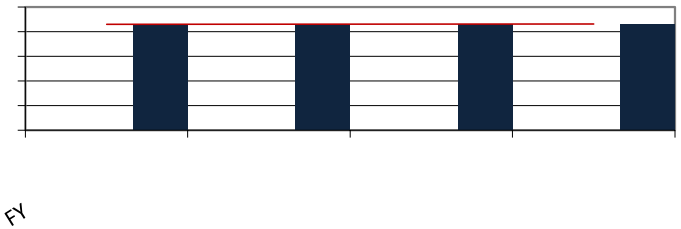












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H[SHULHQFH FRVW LQFUHDVHV IRU JRRGV DQG VHUYLFHV ([SHFWHG UHGXFWLRQV LQ)HGH
$VVLVWDQFH 3HUFHQWDJHV)0$3 SURJUDPV ZKLFK VXSSOHPHQW 0HGLFDLG DQG XQFRPSH

7KLV UHTXHVW LQFOXGHV D LQFUHDVH IRU VXVWDLQLQJ TXDOLW\ DQG VHUYLFH EX

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7RWDO ,QFUHDVH IURP 6WDWH $SSURSULDWLRQ

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'HSDUWPHQW RI +LJKHU (GXFDWLRQ
3URJUDP 1DPH 0LVVRXUL .LGQH\ 3URJUDP
3URJUDP LV IRXQG LQ WKH IROORZLQJ FRUH EXGJHW V 8QLYHUVLW\ RI 0LVVRXUL 0LVVRXUL .L

7R SURYLGH ILQDQFLDO KHOS WR HOLJLEOH 0LVVRXULDQV WR GHIUD\ WKH LQ GLUHFW PHG
%HQHILWV UDQJH IURP PHGLFDWLRQV WUDQVSRUWDWLRQ DQG LQVXUDQFH SUHPLXP D
7R SURYLGH DQG VXSSRUW WKH &.' HGXFDWLRQ RI 0LVVRXULDQV

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3URJUDP 1DPH 0LVVRXUL .LGQH\ 3URJUDP
3URJUDP LV IRXQG LQ WKH IROORZLQJ FRUH EXGJHW V 8QLYHUVLW\ RI 0LVVRXUL 0LVVRXUL .L

F 3URYLGH WKH QXPEHU RI FOLHQWV LQGLYLGXDOV VHUYHG LI DSSOLFDEOH

7KH WDEOH EHORZ OLVWV WKH QXPEHU RI FOLHQWV VHUYHG DQG WKH SURMHFWHG Q
SDWLHQW VHUYLFH GHOLYHU\ FDSDELOLWLHV E\ PLFURPDQDJLQJ RXU FXUUHQW DSSL
0LVVRXUL DQG RYHU SDWLHQWV HDFK \HDU DUH QHZO\ GLDJQRVHG ZLWK &KURQL
QHHG IDU H[FHHGV WKH OHYHO RI DSSURSULDWLRQ WKDW KDV EHHQ DYDLODEOH &X
SURYLGHG IURP &< 1HWZRUN DQG 8QLWHG 1HWZRUN IRU 2UJDQ 6KDULQJ

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G 3URYLGH D FXVWRPHU VDWLVIDFWLRQ PHDVXUH LI DYDLODEOH

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TXHVWLRQQDLUH 7KH TXHVWLRQQDLUH LV PDLOHG WR WKH SDWLHQW DORQJ ZLWK D
QDPH &XVWRPHU 6DWLVIDFWLRQ 5HVHDUFK %UDQFK 7KH SDWLHQW VXEPLWV UHVSRQ
UHFHLYH IDYRUDEOH FXVWRPHU VDWLVIDFWLRQ UDWLQJ $ VXPPDU\ RI FXVWRPHU VD
DUH UHYLHZHG E\ RXU $GYLVRU\ &RXQFLO \HDU\ :H KDYH FKRVHQ QRW WR DWWHPS

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4XHVWLRQQDLUHV„D„„0`HLYHG
4XHVWLRQQDLUHV„D, DLOHG

WKHLU DFFHVV WR PHGLFDWLRQV PHGLFDO FDUH DQG WUDQVSRUWDWLRQ GURS DQG FRQHG
GLDO\VLV DQG SODQV WR DOORZ WKH VHOOLQJ RI XQXVHG GUXJV WR KHOS IXQG QHZ LQ RWKHU GLUHFW

Missouri Kidney Program
FY2012 Patient Assistance
(by county)

St. Louis City
259,983
(360)

Dollars
(Patients)

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815(675,&7(' ('8&$7,21$/ $1' *(1(5$/
)250

'HSDUWPHQW +LJKHU (GXFDWLRQ 8QUHVWULFWHG
/HYHO 8QLYHUVLW\ RI 0LVVRXUL 2WKHU 3URJUDPV
/HYHO 0LVVRXUL 5HVHDUFK DQG (GXFDWLRQ 1HWZRUN 025(QHW
'HFLVLRQ ,WHP 5XOH ,QLWLDWLYH IRU . DQG +LJKHU (GXFDWLRQ &UHGLW DQG 6KDUHG 1HWZ
'HFLVLRQ ,WHP 5XOH

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025(QHW ZRUNV ZLWK LWV PHPEHUV WR VHW DQG VXSSRUW 0LVVRXUL V LQIRUPDWLRQ W
HQKDQFH OHDUQLQJ RSSRUWXQLWLHV WR FUHDWH D EHWWHU TXDOLW\ RI OLIH IRU DOO 0

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0LVVRXUL 7HOHKHDOWK 1HWZRUN
3URJUDP 5HYHQXHV ([SHQGLWXUHV

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7UDQVIHUV				
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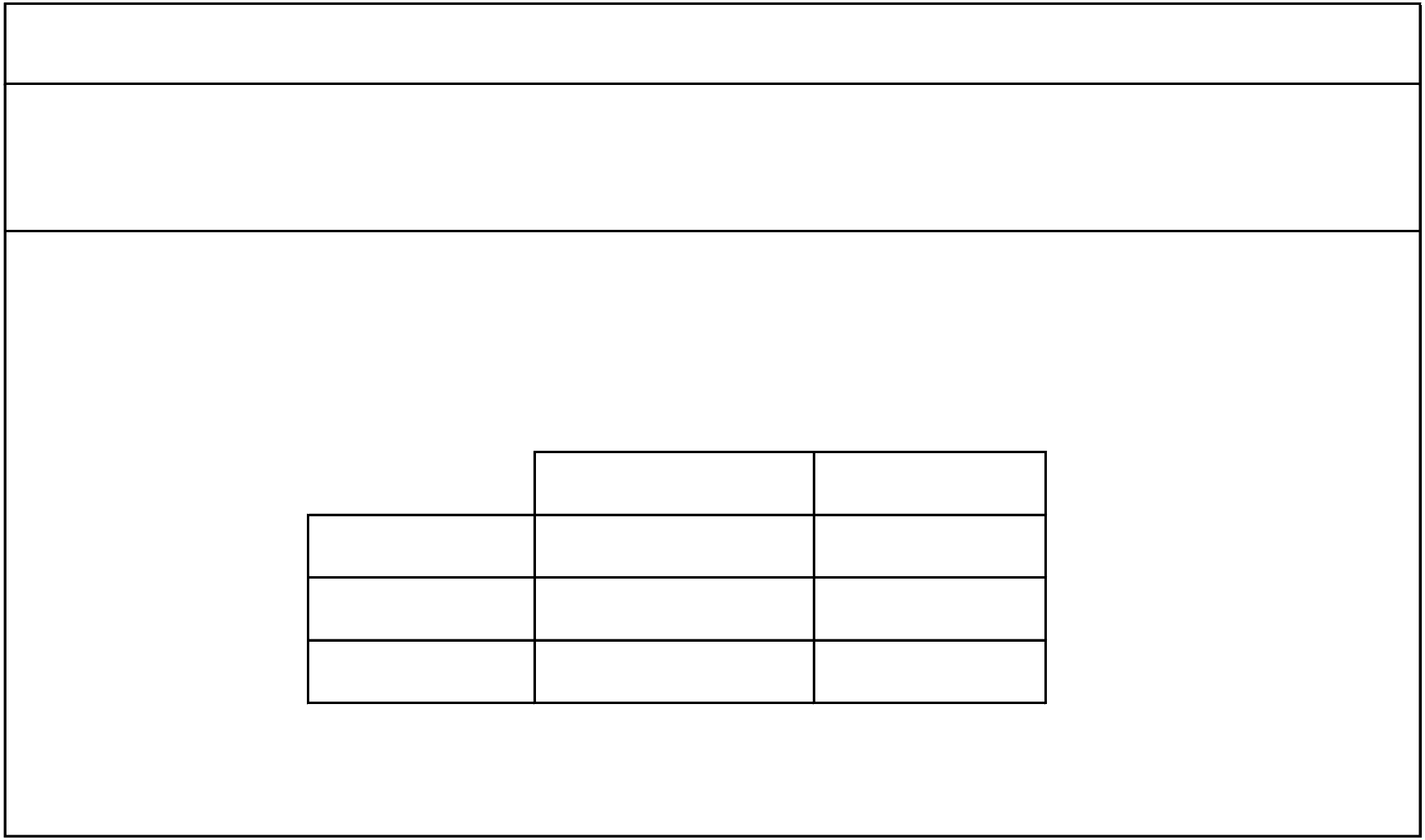
'HSDUWPHQW RI +LJKHU (GXFDWLRQ
3URJUDP 1DPH 'LYLVLRQ RI)RXU \HDU &ROOHJHV
3URJUDP LV IRXQG LQ WKH IROORZLQJ FRUH EXGJHW V 8QLYHUVLW\ RI 0LVVRXUL 0LV

0LVVLRQ 6WDWHPHQW



'HSDUWPHQW RI +LJKHU (GXFDWLRQ
3URJUDP 1DPH 'LYLVLRQ RI)RXU \HDU &ROOHJHV
3URJUDP LV IRXQG LQ WKH IROORZLQJ FRUH EXGJHW V 8QLYHUVLW\ RI 0LVVRXUL 0LV

E 3URYLGH DQ HIILFLHQF\ PHDVXUH

7HOHKHDOWK KDV PDQ\ FRVW VDYLQJ LPSOLFDWLRQV IRU SURYLGHUV %XUHOO %
H[DPSOHV WKURXJK WKH XVH RI WHOHSV\FKLDWU\ 3DWKZD\V UHSRUWV SURYLGLQ
SURYLGHV D UHGXFWLRQ LQ ZLQGVKLHOG WLPH 7KLV ZLQGVKLHOG WLPH UHIOHFW
WHOHSV\FKLDWU\ 7KH UHGXFWLRQ RI ZLQGVKLHOG WLPH IURP WKH XVH RI WHOHS
%XUHOO %HKDYLRUDO +HDOWK DQG 3DWKZD\V &RPPXQLW\ %HKDYLRUDO +HDOWK

F 3URYLGH WKH QXPEHU RI FOLHQWV LQGLYLGXDOV VHUYHG LI DSSOLFDEOH

1XPEHU RI WHOHKHDOWK HQFRXQWHU 1XPEHU RI &RQWLQXLQJ 0HGLFDO (GXFDWLRQ F

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$FWXDO		$FWXDO	
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3URMHFWHG		3URMHFWHG	

1XPEHU RI WHOHUDGLRORJ\ LQWHUSL

<HDU	1XPEHU
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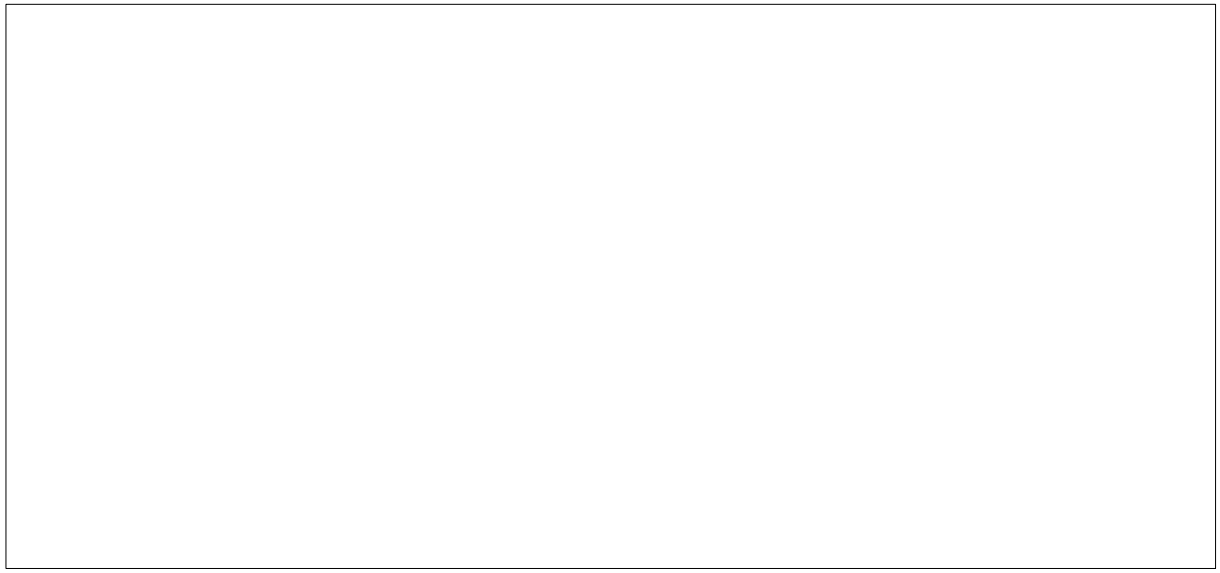

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'HSDUWPHQW +LJKHU (GXFDWLRQ 8QUHVWULFWHG
/HYHO 8QLYHUVLW\ RI 0LVVRXUL 2WKHU 3URJUDPV
/HYHO 0LVVRXUL 7HOHKHDOWK 1HWZRUN
'HFLVLRQ ,WHP 1DPH ,QFUHDVH IRU 6XVWDLQLQJ 4XDOLW\ DQG 6HUYLFH
'HFLVLRQ ,WHP 5DQN RI

, 385326($1' &5,7(5,$)25 ,1&/86,21 ,1 5(48(67

7KLV UHTXHVW LV IRU VWDWH IXQGV WR SURYLGH DQQ LQFUHDVH IRU VXVWDLQLQJ HGXFDWLRQ VHUYLFH OHYHOV ZKLFK DUH FULWLFDO WR VXSSRUW 7HOHKHDOWK LQ 0LVVRXUL 7KH 0L V\VWHP DQG SURYLGHV DQ H[FHOOHQW UHWXUQ RQ LQYHVWPHQW

,, '(6&5,37,21

7KH 0LVVRXUL 7HOHKHDOWK 1HWZRUN 071 PDQDJHV D *LJDE LWHG RI QHWZRUN RI DWWHQGLQJ SURYLGHV GLUHFW SDWLHQW FDUH LQFOXGLQJ PRUH WKDQ SDWLHQW 7HOHFRQVXOWDWLRQV SURYLGHV HGXFDWLRQDO RSSRUWXQLWLHV IRU KHDOWK SURIHVVLRQDOV DQG VWXGHQWV 7HOHKHDOWK VLWHV UHO\ RQ WKH H[SHUWLVH DQG [PDQDJHPHQW WKH 071 QHWZRUN DFFLRQ DQG YDOXDEOH UHVRXUFH IRU EURDGEDQG DFFHVV DQG KHDOWK LQIRUPDWLRQ H[FKDQJH DV W

7KH FRUH UHGXFWLRQ LQ VWDWH DSSURSULDWLRQ RI VLQFH)< KDV LPSDFWHG WKH LQFUHDVH IRU YLWDO VWDIIILQJ QHWZRUN PDLQWHQDQFH FRVWV QHFHVVDU\ HTXLSPHQW WKH QHWZRUN 071 SURYLGHV RQJRLQJ WHFKQLFDO VXSSRUW WUDLQLQJ WURXEOHVK PDQDJHPHQW RI WKH EDFNERQH

OWS$@ LQeRUNHVI Rð0 @P8PK0 H

352*5$0 '(6&5,37,21

'HSDUWPHQW RI +LJKHU (GXFDWLRQ
3URJUDP 1DPH 8QLYHUVLW\ RI 0LVVRXUL $O]KHLPI
3URJUDP LV IRXQG LQ WKH IROORZLQJ FRUH EXGJHW V 8QLYHUVLW\ RI 0LVVRXUL $O]KH

D 3URYLGH DQ HIIHFWLYHQHVV PHDVXUH

7KLV SURJUDP KDV QRW UHFHLYHG IXQGLQJ VLQFH)< ,Q)< SURSRVDOV IRU U

E 3URYLGH DQ HIILFLHQF\ PHDVXUH

7KLV SURJUDP KDV QRW UHFHLYHG IXQGLQJ VLQFH)< ,Q)< ZDV DZDUGH

127(7KH DPRXQW DZDUGHG LV WKH DSSURSULDWHG IXQGV OHVV ZKLFK LV XVHG SH

F 3URYLGH WKH QXPEHU RI FOLHQWV LQGLYLGXDOV VHUYHG LI DSSOLFDEOH
1 $

G 3URYLGH D FXVWRPHU VDWLVIDFWLRQ PHDVXUH LI DYDLODEOH
1 $

1(: '(&,6,21 ,7(0 5(48(67

$/=+(,0(5¶6 352*5$0
)250

'HSDUWPHQW +LJKHU (GXFDWLRQ 8QUHVWULFWHG
/HYHO 8QLYHUVLW\ RI 0LVVRXUL 6\VWHP
/HYHO 2WKHU &XUDWRU 3URJUDPV
'HFLVLRQ ,WHP $O]KHLPHU¶V 3URJUDP
'HFLVLRQ ,WHP 5RDQN

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$O]KHLPHU V GLVHDVH DQG RWKHU UHODWHG GLVHDVHV UHVXOWV IURP VLJQLILFDQW GH
RWKHU LQWHOOHFWXDO IXQFWLRQV $O]KHLPHU V GLVHDVH LV D SDUWLFXODU SUREOHP
7KH SXUSRVH RI WKLV UHTXHVW LV WR IXQG UHVHDUFK WKDW ZLOO DGYDQFH NQRZOHGJH

,, '(6&5,37,21

$Q DGYLVRU\ ERDUG ZLOO PDNH UHVHDUFK DZDUGV FRQVLVWHQW ZLWK WKH OHJLVODWLF
LQVWLWXWLRQV DQG RWKHU YROXQWDU\ KHDOWK DVVRFLDWLRQV

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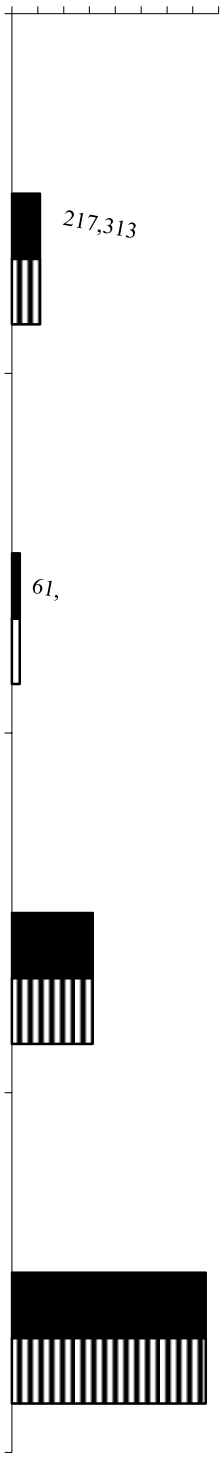
6HQDWH %LOO SDVVHG LQ VWLSXODWHV WKDW WKH %RDUG RI &XUDWRUV VKDOO
DGMXVWHG IRU LQIODWLRQ ,Q DGGLWLRQ WKH UHTXHVW LV WR LQFOXGH DGPLQLV
VWDWXWRU\ DZDUG SHU \HDU ZDV LQFUHDVHG IURP WR E\ 6HQDWH %LOO LQ

7KH UHTXHVWLVRU)< EDVHG RQ DQG D SURMHFWHG &RQVXPHU 3ULFH ,QGH[RI
WKH UHTXHVW IRU DGPLQLZKWLFKSHUFHQWRQ WKH UHVHDUFK DPRXQW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63,1$/ &25' ,1-85<)81'
)250

'HSDUWPHQW +LJKHU (GXFDWLRQ 8QUHVWULFWHG
/HYHO 8QLYHUVLW\ RI 0LVVRXUL 6\VWHP
/HYHO 2WKHU &XUDWRU 3URJUDPV
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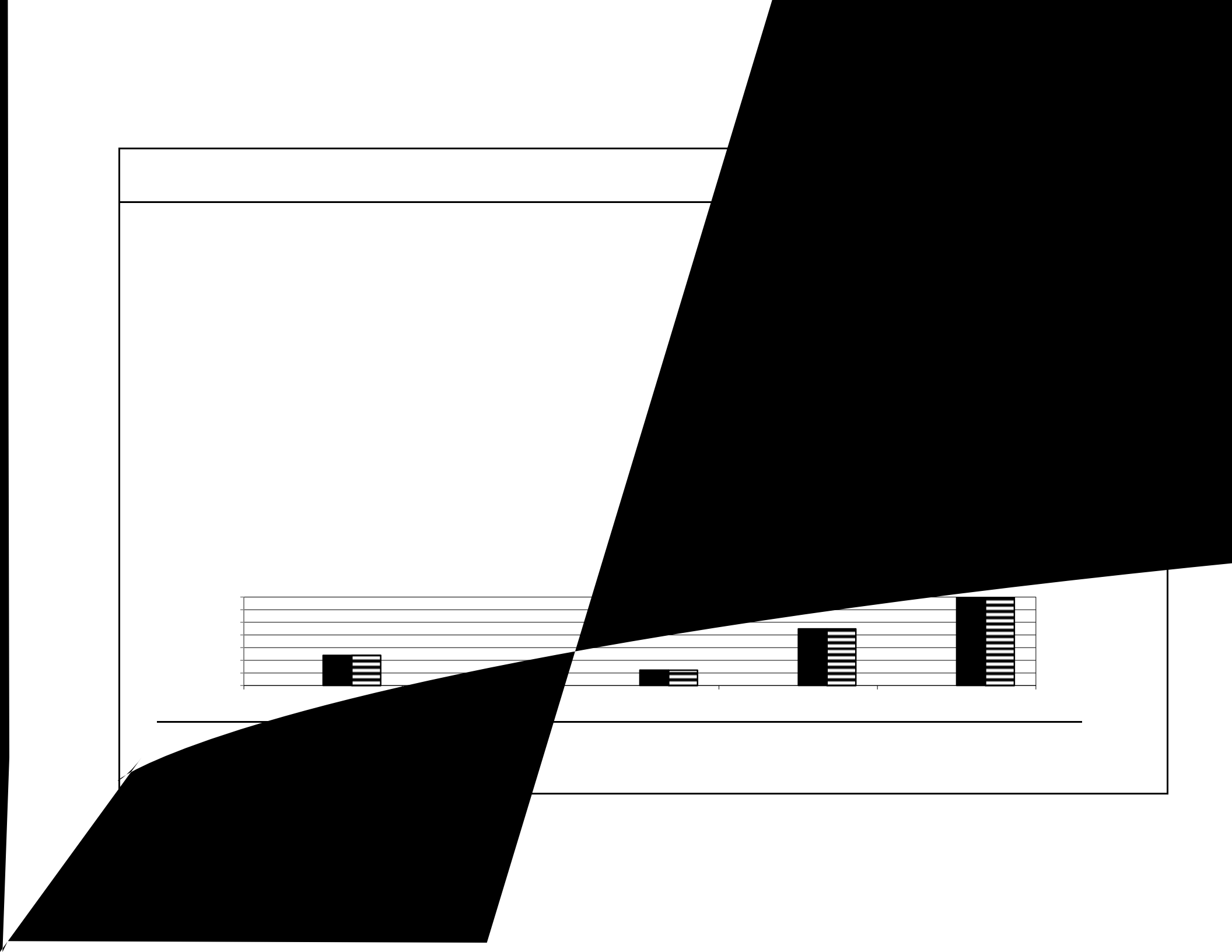
'HFLVLRQ ,WHP



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'HSDUWPHQW RI +LJKHU (GXFDWLRQ
3URJUDP 1DPH 'LYLVLRQ RI)RXU \HDU &ROOHJHV DQG 8QLYHUVLWLHV
3URJUDP LV IRXQG LQ WKH IROORZLQJ FRUH EXGJHW V 8QLYHUVLW\ RI 0LVVRXUL 6WDWH 6H

E 3URYLGH DQ HIILFLHQF\ PHDVXUH
1 $

F 3URYLGH WKH QXPEHU RI FOLHQWV LQGLYLGXDOV VHUYHG LI DSSOLFDEOH
1 $

G 3URYLGH D FXVWRPHU VDWLVIDFWLRQ PHDVXUH LI DYDLODEOH
1 $

1(: '(&,6,21 ,7(0 5(48(67

67$7(6(0,1$5<)81'
)250

'HSDUWPHQW +LJKHU (GXFDWLRQ 8QUHVWULFWHG
/HYHO 8QLYHUVLW\ RI 0LVVRXUL 6\VWHP
/HYHO 2WKHU &XUDWRU 3URJUDPV
'HFLVLRQ ,WHP 1DPH 6HPLQDU\ )XQG
'HFLVLRQ ,WHP 5DQN RI

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7KH 6HPLQDU\ )XQG ZDV FUHDWHG IRU WKH VXSSRUW RI WKH 8QLYHUVLW\ RI 0LVVRXUL¶V
IXQG FRQVLVWV RI SURFHHGV IURP WKH VDOH RI ODQG GRQDWHG WR WKH 6WDWH RI 0LVV
6 5ROOLQV 6FKRODUVKLS)XQGV HWF

7KH %RDUG RI &XUDWRUV LV WKH FRPPLVVLRQHU RI WKH 6HPLQDU\ )XQG DQG DOO PRQH\
EH LQYHVWHG E\ WKH FRPPLVVLRQHU LQ UHJLVWHUHG ERQGV RI WKH 8QLWHG 6WDWHV RU
RWKHU VHFXULWLHV RQ ZKLFK WKH 8QLWHG 6WDWHV IXOO\ JXDUDQWHHV SD\PHQW RI QRW

7KH 6WDWH 7UHDVXUHU LV WKH FXVWRGLDQ RI WKH 6HPLQDU\ )XQG DQG LV QRW DXWKRUL
&RPPLVVLRQHU RI $GPLQLVWUDWLRQ LQ DFFRUGDQFH ZLWK WKH UHTXLVLWLRQ PDGH E\ W
LQWHUHVW RQ ERQGV ZKHQ GXH FUHGLW WKH 6HPLQDU\ )XQG DQG SD\ WKH %RDUG RI &X
E\ WKH %RDUG RI &XUDWRUV

)RU)< WKH XQLYHUVLW\ LV UHTXHVWLQJ LQ SULQFLSDO WKDW ZLOO QHHG WR
WKH 6HPLQDU\ )XQG LQ DGGLWLRQV IURP SULQFLSDO KHOG LQ WKH 6HPLQDU\ )XQG

)< $SSURSULDWLRQ 5HTXHVW

7R &RYHU ,QYHVWPHQW LQ *RYHUQPHQW 6HFXULWLHV

7R &RYHU ,QYHVWPHQW (DUQLQJV IURP 3ULQFLSDO +HOG LQ WKH 6HPLQDU\ )XQG

8QLYHUVLW\ RI 0LVVRXUL
6WDWH +LVWRULFDO 6RFLHW\ RI 0LVVRXUL
3URJUDP 5HYHQXHV ([SHQGLWXUHV

	)< $FWXDOV	)< $FWXDOV	)< %XGJHW	)< 5HVWRUDWLRQ &RUH	)< 7RW,DQF &RUH 5HTXHVW	)< 5H
5HYHQXHV						
6WDWH $SSURSULDWLRQ						
2WKHU 6RXUFHV 2QH 7LPH						
5HVHUYH 2QH 7LPH						
80 0HPR RI 8QGHUVWDQGLQJ						

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'HSDUWPHQW RI +LJKHU (GXFDWLRQ
3URJUDP 1DPH 'LYLVLRQ RI)RXU \HDU &ROOHJHV DQG 8QLYHUVLWLHV
3URJUDP LV IRXQG LQ WKH IROORZLQJ FRUH EXGJHW V 8QLYHUVLW\ RI 0LVVRXUL 6WDWH
3URYLGH DFWXDO H[SHQGLWXUHV IRU WKH SULRU WKUHH ILVFDO \HDUV DQG SODQQHG H[

1HW RI *RYHUQRU V ZLWKKROGLQJ LQ)< DQG)< DQG DGGLWLRQDO H[WUDRUGL

:KDW DUH WKH VRXUFHV RI WKH 2WKHU IXQGV"

$OO RI WKH 6RFLHW\ V DSSURSULDWLRQV DUH IURP *HQHUDO 5HYHQXH +RZHYHU WKH VWDUWLQJ LQ)< WKHUH LV D PHPRUDQGXP RI XQGHUVWDQGLQJ ZLWK IXQGLQJ IURP NQRZQ SUHYLRXVO\ DV WKH :HVWHUQ +LVWRULFDO 0DQXVFULSW &ROOHFWLRQ

D 3URYLGH DQ HIIHFWLYHQHVV PHDVXUH

+RZ PDQ\ LQGLYLGXDOV XVH 6RFLHW\ UHVRXUFHV RQ VLWH DQG DWWHQG 6RFLHW\ HYHQ

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3URMHFWHG	$FWXDO	3URMHFWHG	7DUJHW	$FWXDO	7DUJHW	7DUJHW

+RZ PDQ\ UHVHDUFK FRQWDFWV GRHV 6RFLHW\ VWDII KDYH YLD SKRQH OHWWHUV H PD

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3URMHFWHG	$FWXDO	3URMHFWHG	$FWXDO	7DUJHW	7DUJHW	7DUJHW

'HSDUWPHQW RI +LJKHU (GXFDWLRQ
3URJUDP 1DPH 'LYLVLRQ RI)RXU \HDU &ROOHJHV DQG 8QLYHUVLWLHV
3URJUDP LV IRXQG LQ WKH IROORZLQJ FRUH EXGJHW V 8QLYHUVLW\ RI 0LVVRXUL 6WDWH
E 3URYLGH DQ HIILFLHQF\ PHDVXUH

:KDW LV WKH DYHUDJH QXPEHU RI GD\V EHWZHHQ WKH GDWH RQ ZKLFK DQ HOLJLEOH VWXGHQW DSSOLHV IRU DGPLVVLRQ DQG WKH GDWH RQ ZKLFK WKH VWXGHQW LV DGPLWWHG"

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3URMHFWHG $FWXDO 7DUJHW 7DUJHW 7DUJHW

F 3URYLGH WKH QXPEHU RI FOLHQWV LQGLYLGXDOV VHUYHG LI DSSOLFDEOH

+RZ PDQ\ LQGLYLGXDOV GRHV WKH 6RFLHW\ DVVLVW DQG KDYH FRQWDFW ZLWK"

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3URMHFWHG $FWXDO 3URMHFWHG 7DUJHW 7DUJHW 7DUJHW

3HUIRUPDQFH DQG 2WKHU $FWLYLW\ 0HDVXUHV

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5HVHDUFKHUV 2Q VLWH
$UW *DOOHU\ $WWHQGDQFH
7RXUV (YHQWV 6WDII 3UHVHQWDWLRQV
7RXUV (YHQWV 6WDII 3UHVHQWDWLRQV $WWHQGDQFH
6WXGHQWV 3DUWLFLSDWLQJ LQ 1DWLRQDO +LVWRU\ 'D\ FRQWHVWV
:HE 6LWH 9LVLWRUV
0HPEHUVKLS

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'HSDUWPHQW +LJKHU (GXFDWLRQ 8QUHVWULFWHG
/HYHO 8QLYHUVLW\ RI 0LVVRXUL 2WKHU 3URJUDPV
/HYHO 6WDWH +LVWRULFDO 6RFLHW\ RI 0LVVRXUL
'HFLVLRQ ,WHP 5HTXHVW UDWLRQ RI WKH &RUH DQG ,QFUHDVH IRU 6XVWDLQLQJ 4XDOLW\ DQG 6HUY
'HFLVLRQ ,WHP 5HQN

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$GGLWLRQDO IXQGLQJ LV DOVR QHHGHG WR SURYLGH DGGLWLRQDO GLJLWDO VWRUDJH X
SDWURQV

,Q RUGHU WR VXVWDLQ TXDOLW\ DQG VHUYLFH DW FXUUHQW OHYHOV WKH 6+6 ZLOO UHT
IRU D SD\ SODQ DQG DVVRFLDWHG EHQHILW FKDQJHV DQG HVWLPDWHG LQFUHDV
D KLJKO\ VNLOOHG DQG SURIHVVLRQDO VWDII DQG WR UHVSRQG WR WKH JURZLQJ GHPDQG
DV IRU WKH JHQHUDO R\SHUDWLRQV RI WKH XQLYHUVLW

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5HVWRUDWLRQ RI &RUH IXQGLQJ UHFXUULQJ
5HVWRUDWLRQ RI &RUH IXQGLQJ RQH WLPH
,QFUHDVH IRU 6XVWDLQLQJ 4XDOLW\ DQG 6HUY Å`WD PY _____

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